



3GPP NB-IoT物聯網技術發展現況

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• 2013/7 ~ now

資訊工業策進會/智慧網通系統研究所/正工程師

- Delegate of 3GPP RAN2 meeting
- Research Interest:
 - Small cell related
 - Transient performance analysis
 - Random Access procedure

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2010/9 ~ 2013/1

國立台灣科技大學 電子工程系 博士 畢



An evolution of LTE optimized for IoT in 3GPP RAN. First released in Rel. 12 in O4 2014 and

further optimization will be included in Rel. 13

with specifications complete in Q1 2016

LTE-M

3GPP LTE-M, NB-IoT (R13)



NB-IOT

- Narrowband operation with 180 kHz bandwidth (in-band, guard band, stand alone)
- DL: OFDMA, UL: FDMA with GMSK modulation and/or SC-FDMA
- Being discussed as part of RAN Rel. 13 standardization starting in Q4 2015 with specifications to be completed by Q2 2016

Scalino up in performance and mobility Scaling down in complexity and power LTE Advanced LTE Cat-O LTE-M NB-IOT >10 Mbps 10s of kbps up to 1 Mbps Up to 10s of kbps Up to 1 Mbps ~200 kHz narrowband n x 20 MHz Today+ Release 12 Release 13 & beyond Release 13 & beyond Sample use cases Mobile Object Tracking Utility metering Mideo security: Wearables Environment monitoring 0 QualComm Smart buildings Connected healthcare **City Infrastructure** Connected car **Energy Management**

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Comparison of 3GPP IoT Proposals

• LTE-M, based on LTE evolution

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- EC-GSM, a narrowband solution based on GSM evolution, and
- NB-LTE, a narrowband cellular IoT solution, also known as Clean Slate technologies

	LTE-Evolution	Narrowband Solutions		Next Generation	
	LTE-M Rel-13	NB-LTE Rel-13	EC-GSM Rel-13	5G	
Range (Outdoor)	< 11 km	< 15 km	< 15 km	< 15 km	
MCL	156 dB	164 dB	164 dB	164 dB	
Spectrum	Licensed (7-900 MHz)	Licensed (7-900 MHz)	Licensed (8-900 MHz)	Licensed (7-900 MHz)	
Bandwidth	1.4 MHz or shared	200 kHz or shared	2.4 MHz or shared	shared	
Data Rate	<1 Mbps	<150 kbps	10 kbps	<1 Mbps	
Battery Life	>10 years	>10 years	>10 years	>10 years	
Availability	2016	2016	2016	2025	

In October 2015, the 3GPP RAN body mutually agreed to study the combination of the two different narrowband IoT technical solutions, EC-GSM and NB-LTE, for standardization as a single NB-IoT technology, which would support three modes of operation as follows:

- 'Stand-alone operation' utilizing, for example, the spectrum currently being used by GERAN systems as a replacement of one or more GSM carriers,
- 'Guard band operation' utilizing the unused resource blocks within a LTE carrier's guard-band, and
- 'In-band operation' utilizing resource blocks within a normal LTE carrier.

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台灣資通產業標準協會 Taiwar Association of Information and Communication Start Proprietary LPWA and Cellular IoT

	SIGFOX	LoRa LoRa	clean slate cloT	NB LTE-M Rel. 13	LTE-M Rel. 12/13	EC-GSM Rel. 13	5G (targets) 5G
Range (outdoor) MCL	<13km 160 dB	<11km 157 dB	<15km 164 dB	<15km 164 dB	<11km 156 dB	<15km 164 dB	<15km 164 dB
Spectrum Bandwidth	Unlicensed 900MHz 100Hz	Unlicensed 900MHz <500kHz	Licensed 7-900MHz 200kHz or dedicated	Licensed 7-900MHz 200kHz or shared	Licensed 7-900MHz 1.4 MHz or shared	Licensed 8-900MHz 2.4 MHz or shared	Licensed 7-900MHz shared
Data rate	<100bps	<10 kþps	<50kbps	<150kbps	<1 Mbps	10kbps	<1 Mbps
Battery life	>10 years	>10 years	>10 years	>10 years	>10 years	>10 years	>10 years
Availability	Today	Today	2016	2016	2016	2016	beyond 2020

SigFox and LoRa are both proprietary technologies deployed in the 8-900 MHz license exempt bands. Three separate tracks for licensed Cellular IoT technologies are being standardized in 3GPP







				Working Item
				Study Item
R10	R11	R12	R13	R14
Machine type communications		ents for machine-type comm /Low mobility consideration,		
RP-090991	RAN overload control for Machine-Type Communications	RAN congestion due to the mass concurrent data and signaling		
	RP-111373	Low Cost MTC for LTE	Reduced DL channel BW of baseband	of 1.4 MHz for data channel in
		RP-140522	Further LTE Physical Layer Enhancements for MTC	15 dB Coverage improvement for FDD
			RP-150492	
			Narrowband IOT	180 kHz UE RF BW for DL/UL
			RP-151621	5G MTC
		6	© 2016 Institute fo	r mormation moustry



Progress of NB-IoT in 3GPP until Feb,2016

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- Estimated level of completion of the work/study item
- per WG (mandatory to be provided) for Core part or SI:
 - RAN WG1: 80%
 - RAN WG2: 70%
 - RAN WG3: 70%
 - RAN WG4: 55%
- The Core part WI is planned to be 100% complete in:
 - June 2016 which is: RAN #72
- The Performance part WI is planned to be 100% complete in:
 - September 2016 which is: RAN #73

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- Support of massive number of low throughput devices
- Reduced complexity
- Improved power efficiency
- Latency





- Data transfer mode
- HARQ
- Mobility
- UE Capabilities
- RLF
- RRC Procedure

- DRX
- Access Barring
- System Information
- Idle mode procedure
 - Cell Selection and Reselection



GBR





Inter-rat mobility Emergency call and CS fallback

CSG Dual connectivity Sidelink communication/discovery

Carrier aggregation MDT Public warning functions

Interference avoidance for in-device coexistence

NAICS

Real-time services Handover Relaying

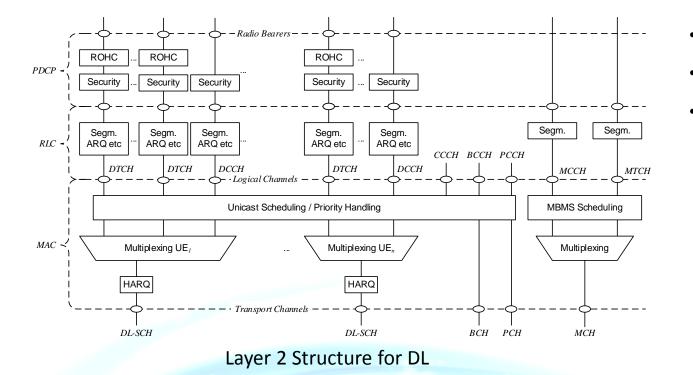
MBMS

RAN assisted WLAN interworking



Radio Protocol Aspects





- The radio interface protocol architecture
- MAC, RLC, PDCP, and RRC protocols
- UE capabilities

CP Solution, no AS security on DCCH.

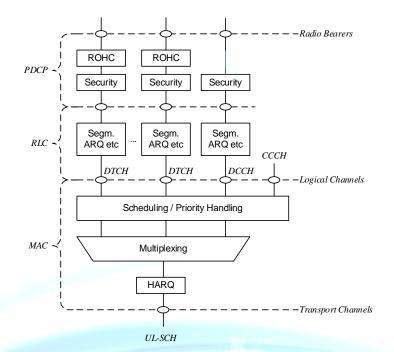
CP Solution, only one dedicated logical channel per UE (i.e. no priority handling between logical channels of one UE). 11 © 2016 Institute for Information Industry



Radio Protocol Aspects

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CP solution: SRB 0 SRB 1 no AS security on DCCH (PDCP is not used) UP solution: SRB 0 SRB 1 SRB 2 (no motivation) 1 DRB

Layer 2 Structure for UL

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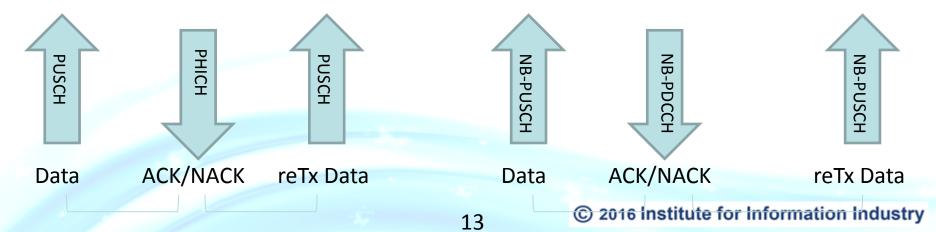






• Both DL/UL

- 1-process Stop-And-Wait
- UL: Asynchronous adaptive HARQ
- DL ACK/NAKs in response to uplink (re)transmissions are sent on NB-PDCCH, and uplink re-transimissions are always triggered according to Downlink ACK/NAK on the NB-PDCCH;

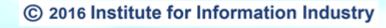








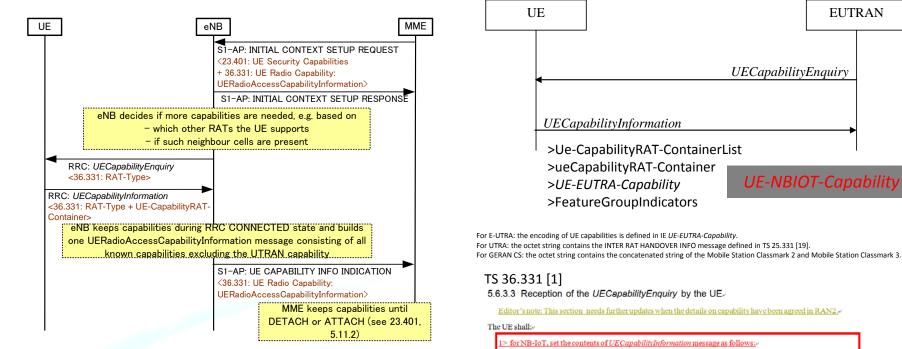
- Key usage: Stationary UE.
- Reduce UE complexity and UE power consumption.
- Not to consider some of the legacy LTE procedures: HO.





UE capability transfer





Initial UE Capability Handling

1> except for NB-IoT, set the contents of UECapabilityInformation message as follows:-

2> include the UE-NBIOT-Capability within the ue-CapabilityRAT-Container.

2> if the ue-CapabilityRequest includes eutra:+

ends;-

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3> include the UE-EUTRA-Capability within a ue-CapabilityRAT-Container and with the rat-Type set to eutral

2> submit the UECapabilityInformation message to lower layers for transmission, upon which the procedure

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Capabilities



- A new UE capability container in ASN.1 is defined for NB-IoT UEs.
- Potential fields for the new UE capability
 - accessStratumRelease (FFS if we have it already in Rel-13 or if we add it in a later release)
 - ue-Category (FFS depending on RAN1)(single UE category applicable to DL/UL (i.e. not separate).
 - rf-Parameters (to indicate supported frequency bands, for load balancing, FFS).
- Do not define or use Feature Group Indicators. Introduce capability
- For the purpose of MSG3 size determination we assume that we may need to signal a bit for single-tone/multi-tone capability indication, but otherwise (in all other aspects) we consider this FFS.



Data transfer mode



- RAN2 assumes that the NB-IoT UEs will not use / transfer data using solution 2 and solution 18 [2] at the same time, i.e. both will never be configured by the network at any point in time.
- The selection which solution to be used is done between UE and network on NAS level.



RLF



- Radio link monitoring and the associated radio link failure criterion shall be supported by NB-IOT UEs, assuming RAN 1 provides the means of measuring the DL quality.
- We assume we use the physical channel problem detection mechanism (i.e. N310, T310 and N311) as described in RRC (TS36.331) for NB-IOT (i.e. legacy LTE behaviour).
- Handle coverage level **FFS**.
- Radio link failure criterion (when UE is in connected mode) due to Random Access failure indication from MAC should also be supported.
- Radio link failure criterion due to maximum RLC retransmissions being reached should also be supported (similar to legacy).
- Solution 2
 - reestablishment is not supported so the UE would released to Idle.
- Solution 18
 - it would be possible to do reestablishment (it is FFS if at reestablishment failure the UE would be released to Idle, as for legacy LTE).
- It is FFS what are the cause values used at the RRC connection release



RRC Procedure



- The LTE RRC Connection Release procedure to be supported. Other methods for RRC release is FFS.
- We assume that RRC Connection Reconfiguration is supported for UP solution, for aspects unique to the UP solution.
- Provision of system information (e.g. SystemInformationBlockType1) via dedicated signalling i.e., within an RRCConnectionReconfiguration message, is not supported in NB-IoT
- Use C-RNTI as a part of the resume ID.
- From RRC point of view there are two RRC states i.e. RRC_CONNECTED and RRC_IDLE and when NB-IoT UE is given suspend command the UE moves to RRC_IDLE and transitions to RRC_CONNECTED on resume.
- Suspend is performed by the RRC release procedure.



Sub-clause	Procedures	UE configured to use "Data transfer over NAS"	UE configured to use "AS context caching"
5.3.2	Paging	Х	Х
5.3.3	RRC connection establishment	Х	Х
	RRC connection resume	-	Х
5.3.4	Initial security activation	-	Х
5.3.5	RRC connection reconfiguration	-	Х
5.3.7	RRC connection re-establishment	-	Х
5.3.8	RRC connection release	Х	Х
5.3.9	RRC connection release requested by upper layers	Х	Х
5.3.10	Radio resource configuration	Х	Х
5.3.11	Radio link failure related actions	Х	Х
5.3.12	UE actions upon leaving RRC_CONNECTED	Х	Х

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- One barring bitmap is used for both MO signaling and MO data.
- Do not introduce an additional separate flag for MO signaling.
- Update of AC information does not impact the SI value tag in MIB for general SI (FFS when AC SIB transmission is started / ended).
- Changes in SIB1 normally affects the SI value tag in MIB.
- SI for AC can be updated asynchronously to other SI updates
- When AC is enabled, UE that was barred should not retry, i.e. recheck the SI for AC, too often (for battery consumption reasons), FFS if this is implementation dependent (NAS handles such retries).



System Information



• Legacy:

- MIB:
 - ▶ BCCH→BCH
 - ▶ 40ms period
- SIBs:
 - ▶ BCCH \rightarrow BCH /DL-SCH (dynamically, SI-RNTI)
 - ▶ SIB1: 80 ms period
 - Other SIBs scheduled by SIB1

- NB-IoT:
 - MIB-nb:
 - ВССН→ВСН
 - 640ms period
 - all information required to acquire SIB1nb

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- SIBs:
 - BCCH \rightarrow BCH (?)
 - SIB1-nb: TBD ms period
 - Other SIBs scheduled by SIB1-nb

For NB-IoT, the UE is not required to detect SIB changes when in RRC_CONNECTED, and the network may release the NB-IoT UE to RRC_IDLE if it wants the NB-IoT UE to acquire changed SIB(s).



20 SIBs \rightarrow **7 SIBs**



- System information for NB-IoT is divided into the *MasterInformationBlock nb* (MIB-nb) and a number of *SystemInformationBlocks nb* (SIBs-nb):
 - MasterInformationBlock-nb defines the most essential information of the cell required to receive further system information;
 - SystemInformationBlockType1-nb cell access/selection, other SIB scheduling;
 - SystemInformationBlockType2-nb radio resource configuration information;
 - SystemInformationBlockType3-nb cell re-selection information for intrafrequency, inter-frequency;
 - SystemInformationBlockType4-nb neighboring cell related information relevant for intra-frequency cell re-selection;
 - SystemInformationBlockType5-nb neighboring cell related information relevant for inter-frequency cell re-selection;
 - SystemInformationBlockType14-nb access barring;
 - SystemInformationBlockType16-nb GPS time and UTC info.



RRC Idle



This specification is applicable to NB-IoT, except for the following functionality which is not applicable to NB-IoT:

- Acceptable cell
- Accessibility measurements
- Access Control based on ACDC categories (FFS)
- Camped on Any cell state
- CSG, including support for manual CSG selection and CSG or Hybrid cell related functionality in PLMN selection, Cell selection and Cell reselection.
- Emergency call
- E-UTRA inter-frequency redistribution (FFS)
- Inter-RAT Cell Reselection
- Limited service
- Logged measurements
- MBMS, including support for MBMS frequency prioritization
- Mobility History Information
- Mobility states of a UE
- Priority based reselection
- Public warning system including CMAS, ETWS, PWS.
- RAN-assisted WLAN interworking
- RSRQ measurements (FFS)
- Sidelink operation

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Cell Selection Criterion S



The UE shall perform ranking of all cells that fulfil the cell selection criterion S

Srxlev > 0 AND Squal > 0 is True Candidate Cell

$$Srxlev = Q_{rxlevmeas} - (Q_{rxlevmin} + Q_{rxlevminoffset}) - Pcompensation - Qoffset_{temp}$$

 $Squal = Q_{qualmeas} - (Q_{qualmin} + Q_{qualminoffset}) - Qoffset_{temp}$

S_{Intra}

SNonIntra

inter-frequency measurements

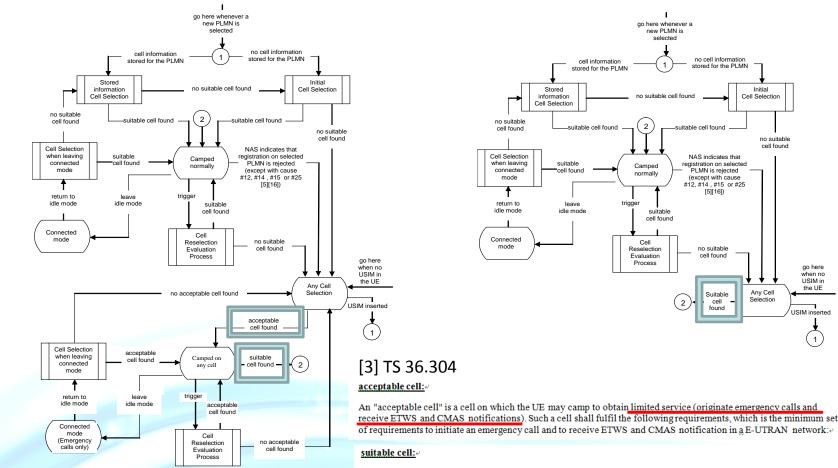
Srxlev > S_{nonIntraSearchP} and Squal > S_{nonIntraSearchQ}

SIB 1	 Provides-information relating to granting/restricting cell access Defines scheduling of other SIBs 	Access restriction info Scheduling info for other SIBs
SIB 3	Information commonly used in all types of cell reselection (intra-frequency, inter-frequency and/or inter-RAT) Intra-frequency cell reselection information other than neighbor cell related	q-Hyst, s-NonintraSearch, threshServingLow, cellReselectionPriority q-RxLevMin, p-Max s-IntraSearch, t-ReselectionEUTRA, q-QualMin
SIB 4	 Information on neighbor cells elated only to intra-frequency cell reselection 	intraFreqNeighCellList (physCellId, q-OffsetCell), intraFreqBlackCellList (physCellId Range), CSG-PCI Range

Srxlev	Cell selection RX level value (dB)
Squal	Cell selection quality value (dB)
Qoffsettemp	Offset temporarily applied to a cell as specified in [3] (dB)
Qrxlevmeas	Measured cell RX level value (RSRP)
Q _{qualmeas}	Measured cell quality value (RSRQ)
Qrxlevmin	Minimum required RX level in the cell (dBm)
Q _{qualmin}	Minimum required quality level in the cell (dB)
Qrxlevminoffset	Offset to the signalled Q _{rxlevmin} taken into account in the Srxlev
	evaluation as a result of a periodic search for a higher priority PLMN
	while camped normally in a VPLMN [5]
Qqualminoffset	Offset to the signalled Q _{qualmin} taken into account in the Squal
	evaluation as a result of a periodic search for a higher priority PLMN
	while camped normally in a VPLMN [5]
Pcompensation	If the UE supports the additionalPmax in the NS-PmaxList, if preser
	in SIB1, SIB3 and SIB5:
	$max(P_{\text{EMAX1}} - P_{\text{PowerClass}}, 0) - (\text{min}(P_{\text{EMAX2}}, P_{\text{PowerClass}}) - \text{min}(P_{\text{EMAX1}},$
	P _{PowerClass})) (dB);
	else:
	max(P _{EMAX1} -P _{PowerClass} , 0) (dB);
P _{EMAX1} , P _{EMAX2}	Maximum TX power level an UE may use when transmitting on the
	uplink in the cell (dBm) defined as P_{EMAX} in [TS 36.101]. P_{EMAX1} and
	PEMAX2 are obtained from the <i>p-Max</i> and the <i>NS-PmaxList</i> respective
	in SIB1, SIB3 and SIB5 as specified in TS 36.331 [3].
P _{PowerClass}	Maximum RF output power of the UE (dBm) according to the UE
	C 2010strestitutesforminformation In

Cell Selection and Reselection





A "suitable cell" is a cell on which the UE may camp on to obtain normal service. The UE shall have a valid USIM and such a cell shall fulfil all the following requirements.+ rv 'n

Initial

Cell Selection

Any Cell

Selection

no suitable cell found

go here

when no

USIM in

the UE

USIM inserted







- **RLC UM is not supported**
- NO Reordering of RLC data PDUs









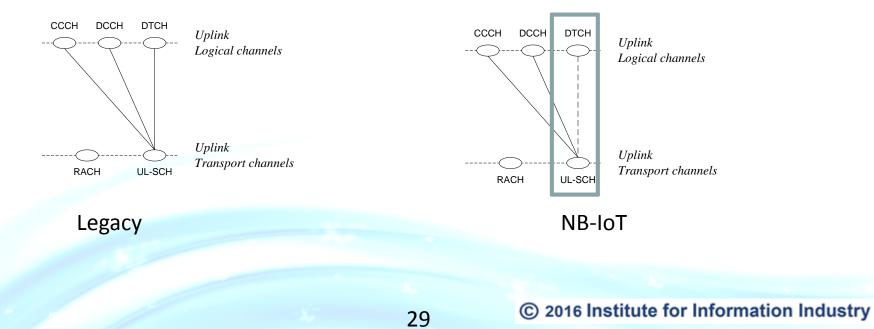
- The MCH, SL-BCH, SL-DCH and SL-SCH transport channels are not supported and concepts of other transport channels in LTE can be reused as a baseline.
- The MCCH and SBCCH logical channels are not supported.
- The MTCH and STCH logical channels are not supported, and the DTCH logical channel is only supported for user plan solution.







Mapping between logical channels and transport channels Uplink

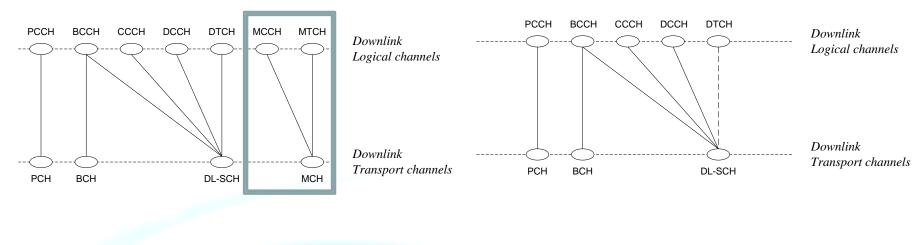








Mapping between logical channels and transport channels

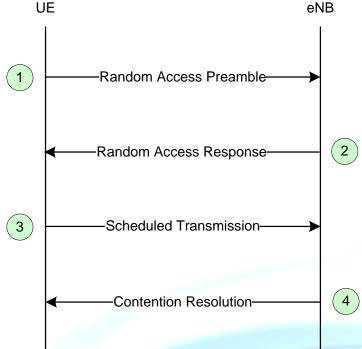






Random Access





Perform on anchor PRB;

• In the procedure to resume the RRC connection:

 Conveys identifier(s) to resume the RRC connection.

Note: the identifier(s) is/are FFS.

• In the procedure to setup the RRC connection:

 An indication of the amount of data for subsequent transmission(s) on SRB or DRB can be indicated.



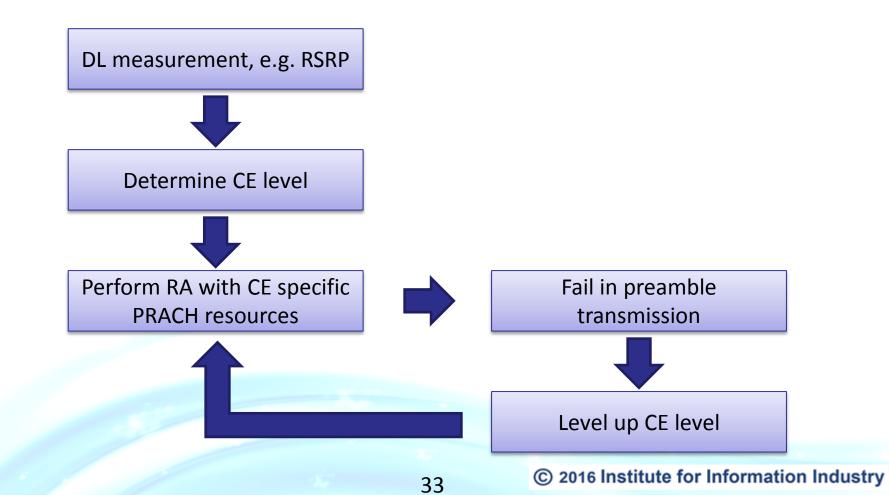
Random Access



- A set of PRACH resources (e.g. time, frequency, and preamble sequences) is provided for each coverage level, e.g. a number of preamble sequences for each level.
- The PRACH resources per coverage level are configurable by System Information.
- The UE selects PRACH resources based on coverage level given by a UE DL measurement, e.g. RSRP.
- Do not support contention free RACH / dedicated preambles for Handover or other reconfigurations in this release. The need for contention free RACH in the future or for other purposes, e.g. PDCCH order is FFS.
- In the SI signalling support it shall be possible to indicate that only a subset of RACH resources are available for contention RACH.
- FFS the behavior at contention resolution failure (need to check).
- Global PREAMBLE_TRANSMISSION_COUNTER and per coverage level PREAMBLE_TRANSMISSION_COUNTER_CE are used for NB-IoT
- Global PREAMBLE_TRANSMISSION_COUNTER is used to achieve power ramping for the received target preamble power



Random Access Procedure









- MAC contention resolution timer for NB-IoT is configured per-CEL [4].
- The MAC will reattempt at a higher coverage level if it does not receive RAR after the allowed number of attempts of a certain level.
- If the Contention Resolution is considered not successful the UE should continue in the same PRACH CE level to proceed to the transmission of preamble.
- NB-IoT supports only cross-subframe scheduling and no samesubframe scheduling
- The transmission duration in number of sub-frames for the NB-PDCCH, the NB-PDSCH and the NB-PUSCH is variable







- We confirm that at least the legacy parameters drxStartOffset, longDRX-Cycle and OnDurationTimer are re-used as is for connected mode DRX with value ranges suitable for NB-IoT
- Connected mode DRX configuration parameters for NB-IoT can be included as part of RRC message in MSG 4.
- The LTE legacy DRX (timers, triggering conditions, etc.) is reused as baseline.
- Maximum value of the DRX cycle
 - Legacy: longDRX-Cycle sf2560=256 radio frame
 - eMTC/eDRX: 2621.44 seconds (43.69 minutes).
 - NB-IoT: 10485.76 seconds (2.91 hours).







• Running CR for TS 36.300

X.4.2.4 DRX in connected mode

DRX in connected mode is supported, but only one DRX cycle, i.e. "long DRX" is supported. Further signalling optimization is not precluded. It is beneficial to enable DRX also for short connections, as early as possible.

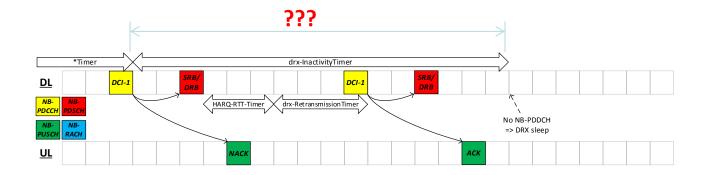
• **Parameters in legacy LTE and eMTC** (excluding the short DRX parameters):

- onDurationTimer
- drxStartOffset (signaled as longDRX-CycleStartOffset in 36.331)
- longDRX-Cycle (signaled as longDRX-CycleStartOffset in 36.331)
- drx-InactivityTimer
- HARQ-RTT-Timer
- drx-RetransmissionTimer

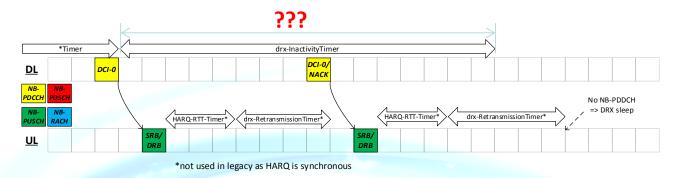








Legacy LTE DRX behavior for one DL HARQ re-transmission [5]



Legacy LTE DRX timers applied for one UL HARQ re-transmission [5]

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Multi-carrier operation



• In-band, guardband and standalone are supported.

• RRC_IDLE

 Camps on the carrier on which it has received NPSS/NSSS, NPBCH and SIB transmissions.

• RRC_CONNECTED

- can be configured, via UE-specific RRC signaling, to a PRB, for all unicast transmissions, different than the NB-IoT carrier on which the UE has received NPSS/NSSS, NPBCH and SIB transmissions.
- If the different PRB is not configured for the UE, all transmissions occur on the NB-IoT carrier on which the UE has received NPSS, NSSS, NPBCH and SIB transmissions.
- The UE is not expected to receive NPBCH, and NPSS/NSSS and any transmissions other than unicast transmissions in the configured PRB.

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Note: Receives paging on an anchor carrier.







- Scheduling information for downlink data is transmitted on a downlink physical control channel denoted NB-PDCCH. The scheduled downlink data is transmitted on shared data channels denoted NB-PDSCH;
- Only cross-subframe scheduling is supported. The transmission duration in number of sub-frames for the NB-PDCCH and the NB-PDSCH is variable;
- The transmission duration in number of sub-frames is semi-static for the NB-PDCCH and is indicated for the NB-PDSCH as part of the scheduling information transmitted on the NB-PDCCH;
- The start time of the NB-PDSCH relative to the NB-PDCCH is signaled as part of the scheduling message.







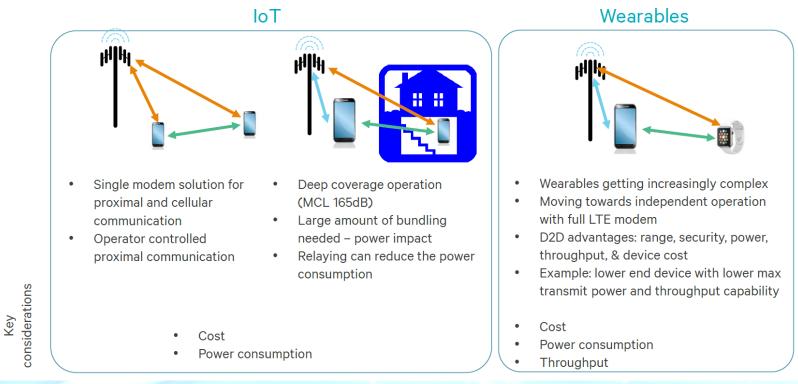
- Based on cell reselection criteria which involve measurements of the serving and neighbor cells as follows:
 - Intra-frequency reselection is based on ranking of cells
 - Inter-frequency reselection is based on raking of frequencies
 - Blind redirection supported for load balancing.





Further Enhancements LTE Device to Device, UE to Network Relays for IoT and Wearables

Use Cases



RP-160677 New SI proposal on Further Enhancements LTE Device to Device, UE to Network Relays for IoT and Wearables 41







[1] 3GPP R2-162070 36.331 Running CR to capture agreements on NB-IoT
 [2] 3GPP TR 23.720 Architecture enhancements for Cellular Internet of Things
 [3] 3GPP TS 36.304 User Equipment (UE) procedures in idle mode
 [4] 3GPP R2-162072 36.321 running CR to capture agreements on NB-IoT
 [5] 3GPP R2-160471 Connected Mode DRX for NB-IoT



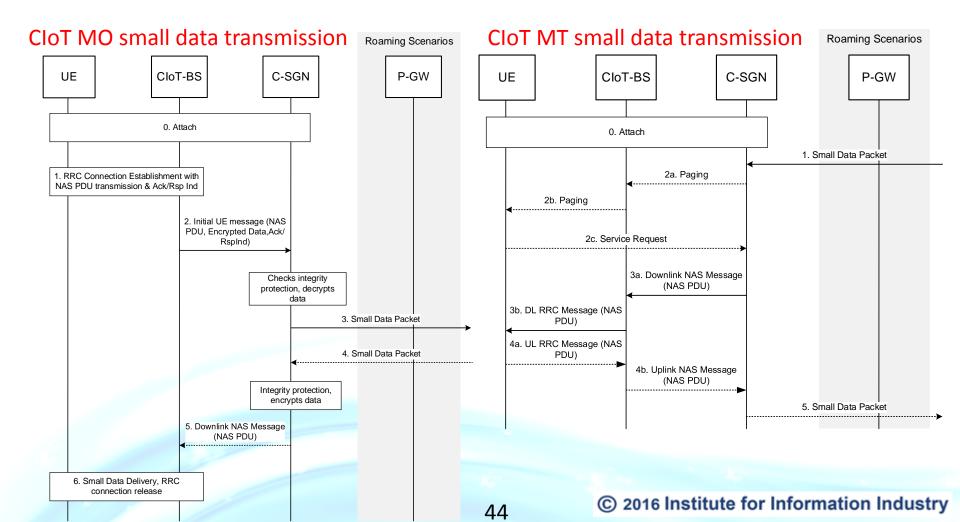


Appendix



Control Plane Solution



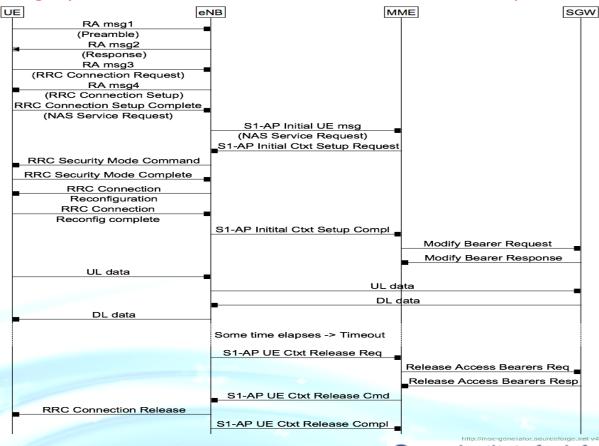




User Plane Solution



Legacy idle to connected transition connection setup

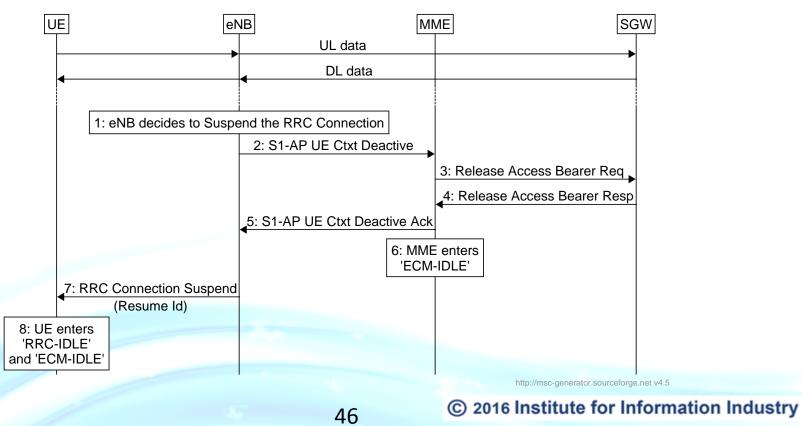


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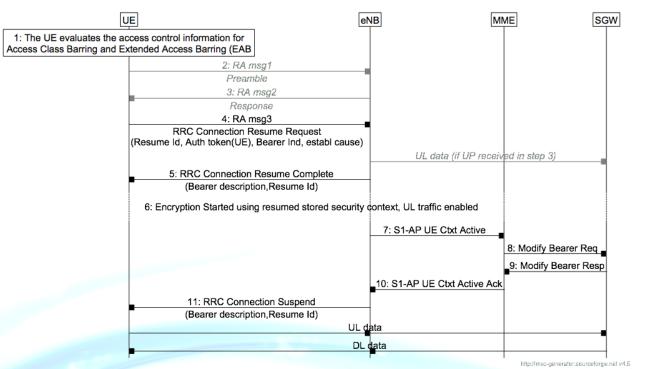
RRC Suspension procedure for solution 18







RRC Resumption procedure for solution 18

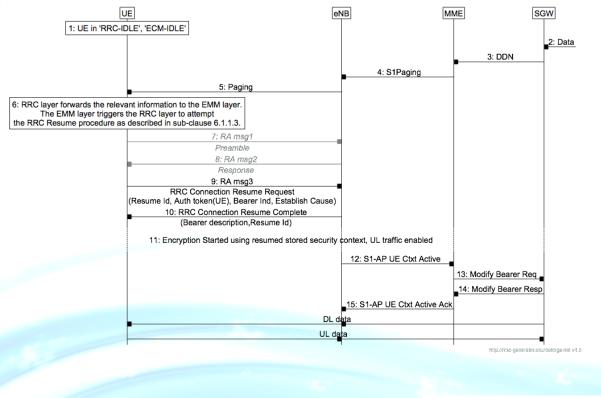


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Resumption of a previously suspended RRC connection for MT case



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THANK YOU

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